

## Ab initio study of Hydrogen and Lithium behaviors in Cu<sub>2</sub>O

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**Abstract.** Nowadays, produced green energy requires efficient storage, the materials to be used must be at unbeatable prices. Lithium and hydrogen are two candidates in several applications in the field of renewable energies. The effect of Hydrogen or Lithium on the electronic, magnetic and optical properties of cuprous oxide Cu<sub>2</sub>O has been investigated using the projected augmented wave (PAW) based on the density functional theory (DFT) formalism, within the generalized gradient approximation (GGA). Different concentrations of hydrogen and lithium were taken into consideration. The calculated formation energies indicate that the H-Cu<sub>2</sub>O system is stable for all hydrogen concentrations. The density of electronic states calculations show that Cu<sub>2</sub>O is p-type and it keeps the same type after hydrogen or lithium incorporation. The correlation of the obtained results gives us more precision on the physical properties of H or Li: Cu<sub>2</sub>O.

**Keywords:** Cu<sub>2</sub>O; Hydrogen; Lithium; energy storage; DFT

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### Introduction

The evolution of the performance of innovative batteries has played an important role in the energy research community since its beginning. Consequently, it is essential to study excellent materials for applications in advanced batteries of electric vehicles, hybrid electric vehicles and energy storage. The various materials used in lithium battery electrodes are selected for their best performance in cyclability and their high specific capacities. Several studies have been made to come out and test the ability to use cuprous oxides as electrodes in lithium batteries [1–5]. Several candidates, such as cuprous oxide (Cu<sub>2</sub>O) with a theoretical capacity of 375 mA h/g, have attracted more interest due to their high abundance, low production cost and nontoxic nature [6–8]. The Cu<sub>2</sub>O nanostructures with varied morphology, such as cubic, star-shaped crystalline particles [9] and nanowires [10] have a big impact on the enhancement of

the specific capacity and the cycling capability for lithium-ion batteries (LIBs) and Hydrogen storage.

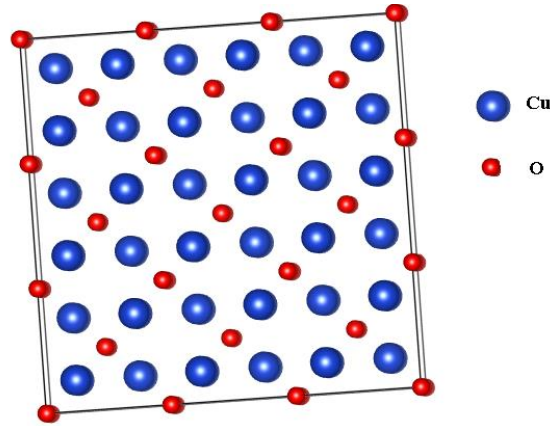
Up to now, several scientific efforts have been focused on the study of the physical properties of  $\text{Cu}_2\text{O}$  and these different applications. The electronic and photocatalytic properties of  $\text{Cu}_2\text{O}$  with different Mn concentrations and configurations have been investigated by the density functional theory (DFT) [11]. The GGA and GGA + U approaches were applied to obtain the  $\text{Cu}_2\text{O}$  band structures and thermoelectric properties [12]. The examination of the halogens doping effects of  $\text{Cu}_2\text{O}$  has been reported in the literature [13]; this was done by using first-principle calculations of the electronic structures and the optical properties. The effect of Li doped  $\text{Cu}_2\text{O}$  has been investigated by Nyborg et al. [14,15]. Yifei et al. demonstrated the feasibility of the successive “conversion-deposition” mechanism for realizing long-cycle-life composite Li anode, thus after insertion of Li ion into  $\text{Cu}_2\text{O}$  and deposited in the form of Li metal at successive low potential [16].  $\text{Cu}_2\text{O}$  nanowire arrays has been used to provide a new strategy to high performance Li metal battery based on 3d conductive skeleton with lithiophilic [17]. In the other hand, Xue Zhou et al. proposed a new strategy to protect  $\text{Cu}_2\text{O}$  hydrogen-substituted graphdiyne [18]. The hydrogenation strategy is employed, also, to improve sensing performances of  $\text{Cu}_2\text{O}$  [19].

In this work, the first principles calculations were used to study the substitutional hydrogen and lithium effect on the electronic, magnetic and optical properties of  $\text{Cu}_2\text{O}$ . To verify the system stability in presence of hydrogen or lithium, we calculated the formation energies of different proposed concentrations. In addition, the influence of hydrogen and lithium on the physical properties  $\text{Cu}_2\text{O}$  is investigated. We also predict and give an easy, low-cost and scalable strategy to prepare the  $\text{Cu}_2\text{O}$  for energy storage.

## Method

We used ab initio total-energy and molecular-dynamics program VASP (Vienna ab initio simulation program) developed at the Fakultät für Physik of the Universität Wien [20,21]. For the exchange and correlation energies treatment, we adopted the generalized gradient approximation (GGA) [22] with projector-augmented wave (PAW) [21,23] pseudo-potentials. Brillouin zone integrals converged with a 450 eV plane-wave cut-off and a  $2 \times 2 \times 2$  Monkhorst-Pack k-point mesh, sufficient to insure the energy convergence for the supercell. These calculations showed a discrepancy within  $10^{-7}$  eV. We relaxed the structure with the standard conjugated gradient algorithm. We used the supercell approach to simulate the H or Li doped  $\text{Cu}_2\text{O}$  system. The optimized lattice constant of  $\text{Cu}_2\text{O}$  is  $a = 4.14 \text{ \AA}$  which is in good agreement with the experimental value of  $a = 4.27 \text{ \AA}$  [24,25] and theoretical values  $a = 4.18$  and  $4.20 \text{ \AA}$  [26,27].

In these calculations, we positioned the H and Li atoms at the cation sites (Cu). We performed the hydrogen and lithium formation energies calculations on  $3 \times 3 \times 3$  supercell with 162 atoms (Fig. 1), using the calculated lattice constant with the different concentrations in the cell. The supercell size is necessary for a detailed study of many dopant geometric structures. For calculations of the electronic properties of H or Li doped  $\text{Cu}_2\text{O}$ , we suppose that H and Li atoms lead to form a  $3 \times 3 \times 3$  supercell with chemical composition  $\text{Cu}_{2(108-x)}\text{H}_x\text{O}_{54}$  or  $\text{Cu}_{2(108-x)}\text{Li}_x\text{O}_{54}$  with different atoms of hydrogen or lithium at the substitutional sites. The calculations were spin-polarized.



**Fig 1.** Supercell of  $3 \times 3 \times 3$  Cu<sub>2</sub>O lattice with 162 atoms

Optical properties can be determined using the complex dielectric function  $\varepsilon(\omega) = \varepsilon_1(\omega) + i\varepsilon_2(\omega)$  [28]. The imaginary part of the dielectric function  $\varepsilon_2(\omega)$  was calculated from the momentum matrix elements between the occupied and unoccupied wave functions [29]:

$$\alpha(\omega) = \sqrt{2} \left( \frac{\omega}{c} \right) \left[ \sqrt{\varepsilon_1^2(\omega) - \varepsilon_2^2(\omega)} - \varepsilon_1(\omega) \right]^{\frac{1}{2}}. \quad (1)$$

## Results and discussion

**Structures and formation energies.** In order to confirm the stability of the system in the presence of hydrogen or lithium, we calculate the formation energies for different concentrations of Hydrogen and Lithium in Cu<sub>2</sub>O. The refs. [30–32] give the formation energy for hydrogen in Cu<sub>2</sub>O as:

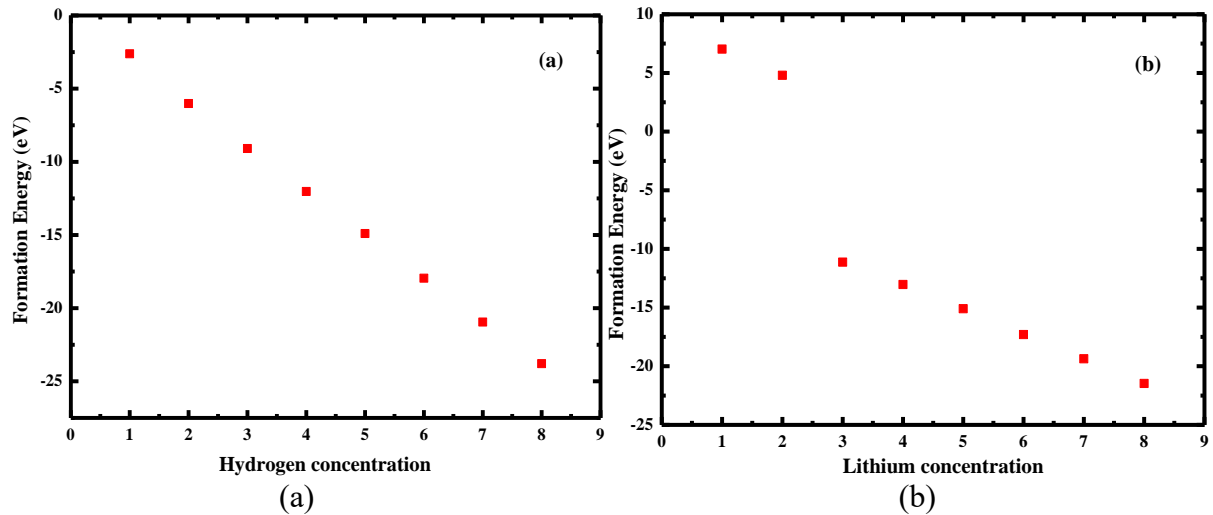
$$E_f = E_{\text{Cu}_2\text{O} + \text{H}} - E_{\text{Cu}_2\text{O}} - nE_{\text{tot}}^{\text{H}} + nE_{\text{tot}}^{\text{Cu}} + qE_F. \quad (2)$$

The formation energy for lithium in the Cu<sub>2</sub>O is given by:

$$E_f = E_{\text{Cu}_2\text{O} + \text{Li}} - E_{\text{Cu}_2\text{O}} - nE_{\text{tot}}^{\text{Li}} + nE_{\text{tot}}^{\text{Cu}} + qE_F, \quad (3)$$

where  $E_{\text{Cu}_2\text{O} + \text{H}}$  and  $E_{\text{Cu}_2\text{O} + \text{Li}}$  are the total energy of the supercell with hydrogen and lithium respectively;  $E_{\text{Cu}_2\text{O}}$  is the total energy of the supercell without hydrogen or lithium. The ground state total energies,  $E_{\text{tot}}^{\text{H}}$ ,  $E_{\text{tot}}^{\text{Cu}}$  and  $E_{\text{tot}}^{\text{Li}}$  correspond, respectively, to isolated hydrogen, cuprous and lithium atoms;  $n$  denotes the number of H or Li atoms introduced in Cu<sub>2</sub>O system. The last term in the formation energy accounts for the fact that H<sup>+</sup> or Li<sup>+</sup> donates an electron and H<sup>-</sup> or Li<sup>-</sup> accepts an electron.  $E_F$  is the Fermi level energy. If the formation energy is negative ( $E_f < 0$ ), the implantation of the hydrogen or lithium atom into the Cu<sub>2</sub>O lattice is energetically favorable.

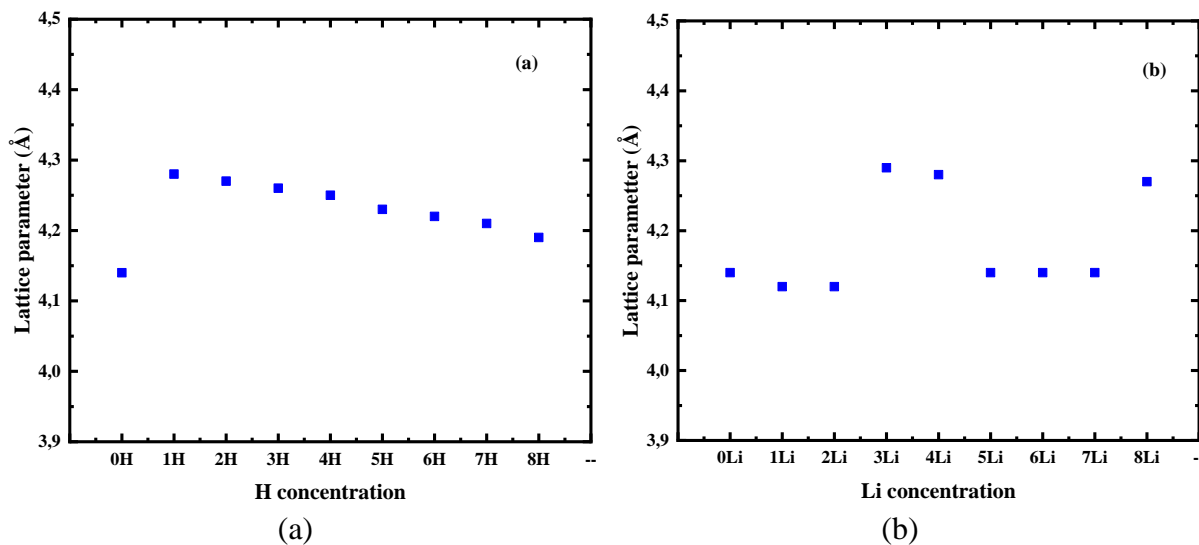
In the Fig. 2(a), we present the variation of the calculated formation energies with the hydrogen concentration. We can see that all calculated formation energies are negative which indicate the stability of Cu<sub>2</sub>O in presence of hydrogen. Figure 2(b) shows the formation energy values for different Li concentrations. The formation energies of the first two lithium concentrations are positive. Thus, the system is not stable, which is probably due to the possible formation of Li<sub>2</sub>O, this case is reported in the literature [33]. Beyond the two first concentrations, the values of all formation energies become negative and decrease in a linear way with a low slope, this state is more stable and opens the possible feasibility to the experimentation of Li: Cu<sub>2</sub>O [34].



**Fig. 2.** Formation energy variation with Hydrogen (a) and Lithium(b) concentration

**Table 1.** System energies, bond lengths (Å), band-gaps and Fermi levels energies in the undoped, H and Li-doped  $\text{Cu}_2\text{O}$  systems calculated using GGA-PBE

System	Energies	$E_g$ (eV)	$E_F$ (eV)	Cu-Cu	Cu-O	Cu-dopant	O-dopant
Undoped	-739.09	0.55	3.236	2.931	1.794	-	-
1H	-741.67	0.42	2.177	3.037	1.86	2.678	1.198
2H	-741.85	0.32	2.232	3.022	1.865	2.82	1.097
3H	-742.09	0.32	2.299	3.126	1.864	2.697	1.195
4H	-742.26	0.43	2.283	3.003	1.858	2.557	1.078
5H	-742.34	0.43	2.312	3.004	1.873	2.663	1.049
6H	-742.66	0.43	2.302	3.006	1.856	2.521	1.054
7H	-742.8	0.43	2.296	3.009	1.864	2.512	1.046
8H	-742.95	0.43	2.392	3.005	1.848	2.505	1.062
1Li	-726.98	0.66	3.355	2.915	1.785	2.872	1.658
2Li	-727.32	0.66	3.356	2.918	1.787	2.863	1.673
3Li	-742.53	0.42	2.139	3.039	1.857	3.015	1.797
4Li	-742.54	0.52	2.219	3.002	1.861	2.981	1.741
5Li	-742.83	0.52	2.232	3.025	1.86	3.01	1.744
6Li	-743.09	0.52	2.135	2.995	1.848	2.972	1.74
7Li	-743.44	0.52	2.138	3.037	1.863	2.991	1.749
8Li	-743.74	0.52	2.104	3.006	1.86	2.992	1.749



**Fig. 3.** Lattice parameter variation with Hydrogen (a) and Lithium (b) concentrations

**Magnetic and electronic properties.** From the densities of states (see Figs. 4 and 5), we note that, for all concentrations (of H or Li), the difference between the majority and minority densities of states near the Fermi energy is equal to zero. This indicates that the presence of substitutional hydrogen or lithium does not generate a magnetic moment order in Cu<sub>2</sub>O. The calculated band gap of undoped Cu<sub>2</sub>O is about 0.55 eV, which is smaller than the experimental value (2.17 eV) [35] but it agrees well with the previous theoretical value reported in literature (0.6 eV [36,37], 0.64 eV [38] and 0.7 eV [39]).

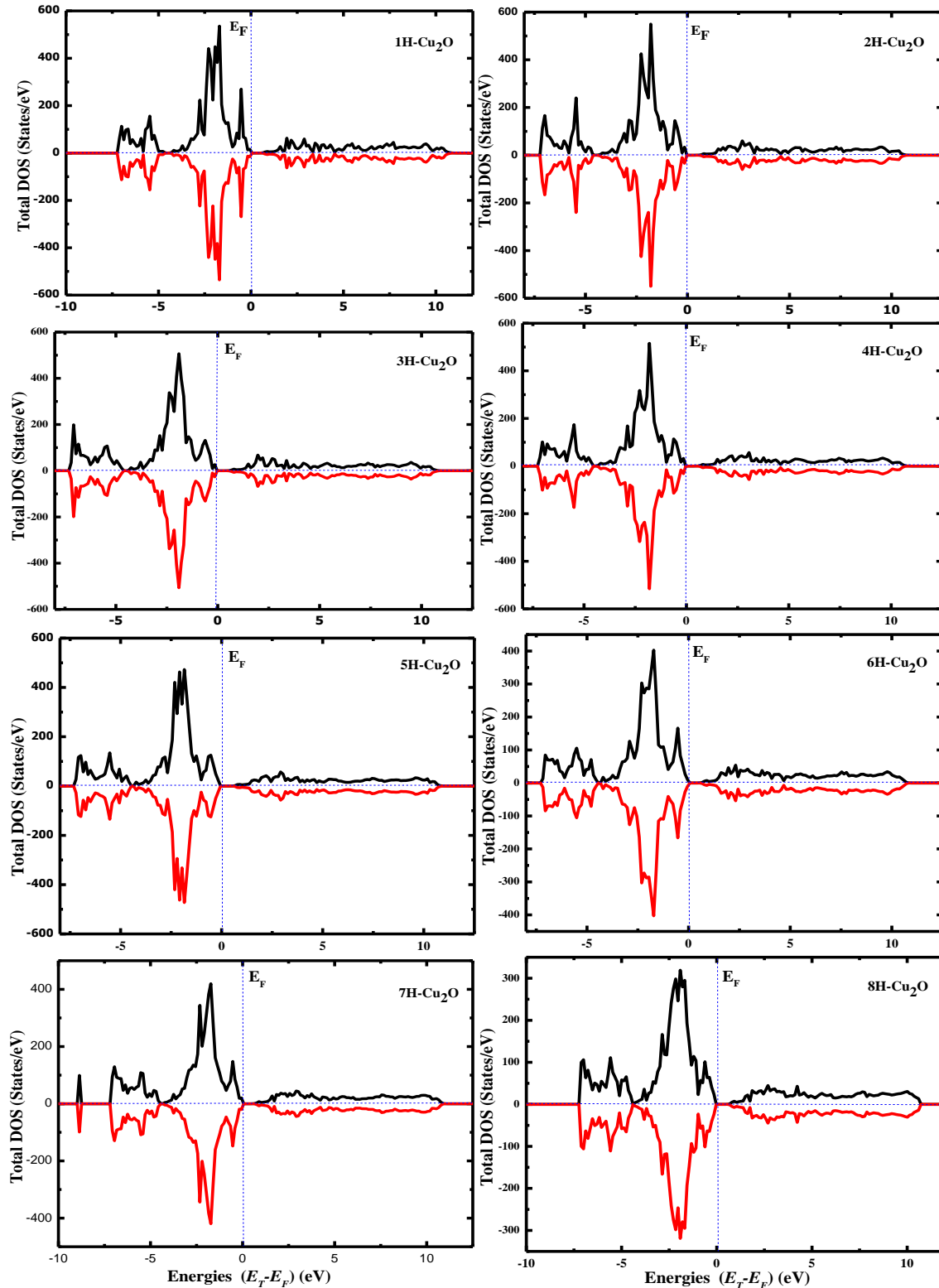
The density of states (DOS) for Cu<sub>2</sub>O is calculated in order to understand the electronic structure and nature of the band edge wave functions (see Fig. 6). The projected DOS clearly shows significant *p-d* (O-2*p* and Cu-3*d*) hybridization below Fermi level, with Cu-3*d* bands dominating the valence and the conduction band edge. From this, it is clear that the interaction between metal-metal, which is controlled by the interatomic distances Cu-Cu, defined the band gap energy.

The calculated band gap energies for different concentrations of dopants in Cu<sub>2</sub>O are shown in Fig. 7. The decrease in energy values of the Fermi level indicates that the doped system is a degenerate p-type semiconductor, as seen in Table 1. The total and partial DOS of H-1*s*, Li-2*s*, O-2*p* and Cu-3*d* states are plotted in Figs. 8 and 9(b,c). The dopant contribution to the calculated DOS is at low energy level, no dopants bands in the top VB or in the bottom of CB are observed, where the band edge of doped Cu<sub>2</sub>O have the same features as pure system. For H-doping, the sharp peak at -5.5 eV corresponds to the fully symmetric orbital of the hydrogen multicenter bond in Cu<sub>2</sub>O [40]. Clearly, it shows strong localized energy bands, which is from O-2*p*, Cu-4*s* and H-1*s* states. The sharp peak at -2 eV shows strong coupling between Cu-3*d* and H-1*s* states. Moreover, the states close to the VBM (-2 to 0 eV) are dominated by Cu-3*d* and O-2*p* hybrid orbitals. Beyond the CBM, the Cu and H bands are resonant and strongly mix with the host CB states. For Li-doping, the sharp peaks at -6.5 show strong localized energy bands from O-2*p* states and Li-2*s* states without the presence of the Li-2*s* bands near Fermi level and contribution of Cu-4*s* orbitals considering the H-doping system. The energy bands of the doped system are deeper than that of the undoped system. It can be explained by the strong bonding of substitution atoms with their neighbors compared to Cu atoms. They are further stabilized systems as showing the lower energetic values (see Table 1).

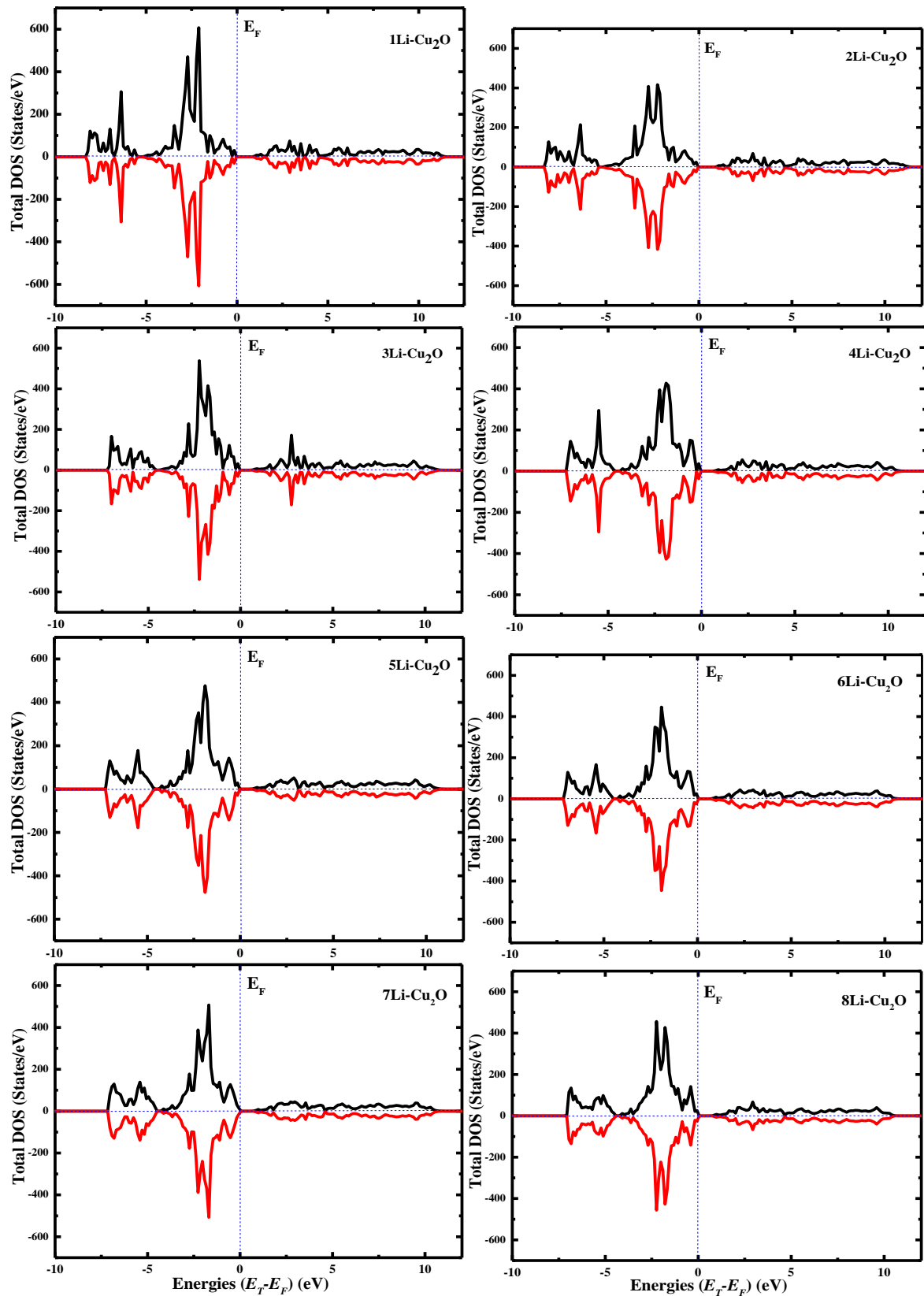
It is known that in the case of doping, the filling effect of the band edge near the Fermi level cannot be ignored. In this context, we analyzed the charge transfer based on Bader's theory. The absolute value of charge transfer of undoped and doped Cu<sub>2</sub>O is shown in Fig. 10, which characterizes the ability of atoms to gain and lose electrons. In the case of an undoped system, the cation Cu atom loses electrons and the anion O atom gains electrons. In doped systems, H atoms are considered donors because they undergo negative charge transfer. Therefore, it is slightly changed compared to an undoped system where O atoms receive charges from Cu and H atoms. The charge loss of Cu in the H-doped system is slightly less than that of the undoped system. This shows that the sharing of charge between H<sup>+</sup> and O atoms results in the formation of a typical H-O covalent bond, which leads to a decrease in the electronegativity of the O atom and an increase in the strength of the copper-copper covalent bond. This reduces the ionicity of the Cu-O bond and clarifies the peak intensity in DOS. Due to the reduced hybridization between Cu and O atoms, the peak intensity after doping is very weak. In addition, we can see that despite the covalent increase due to the O-H bond, the band gap shrinkage rate is limited to 0.32 eV, with no significant changes in structural distortion and electronic structure.

For Li-doped Cu<sub>2</sub>O, we can see that 1Li and 2Li doping increase the band gap. This can be explained by reducing the copper spacing, which increases the Cu-3*d* band overlap, as listed in Table 1. For the charge transfer from the cation to the anion atom, there is no significant change compared to the undoped system. However, as shown in Table 1, due to the increase in

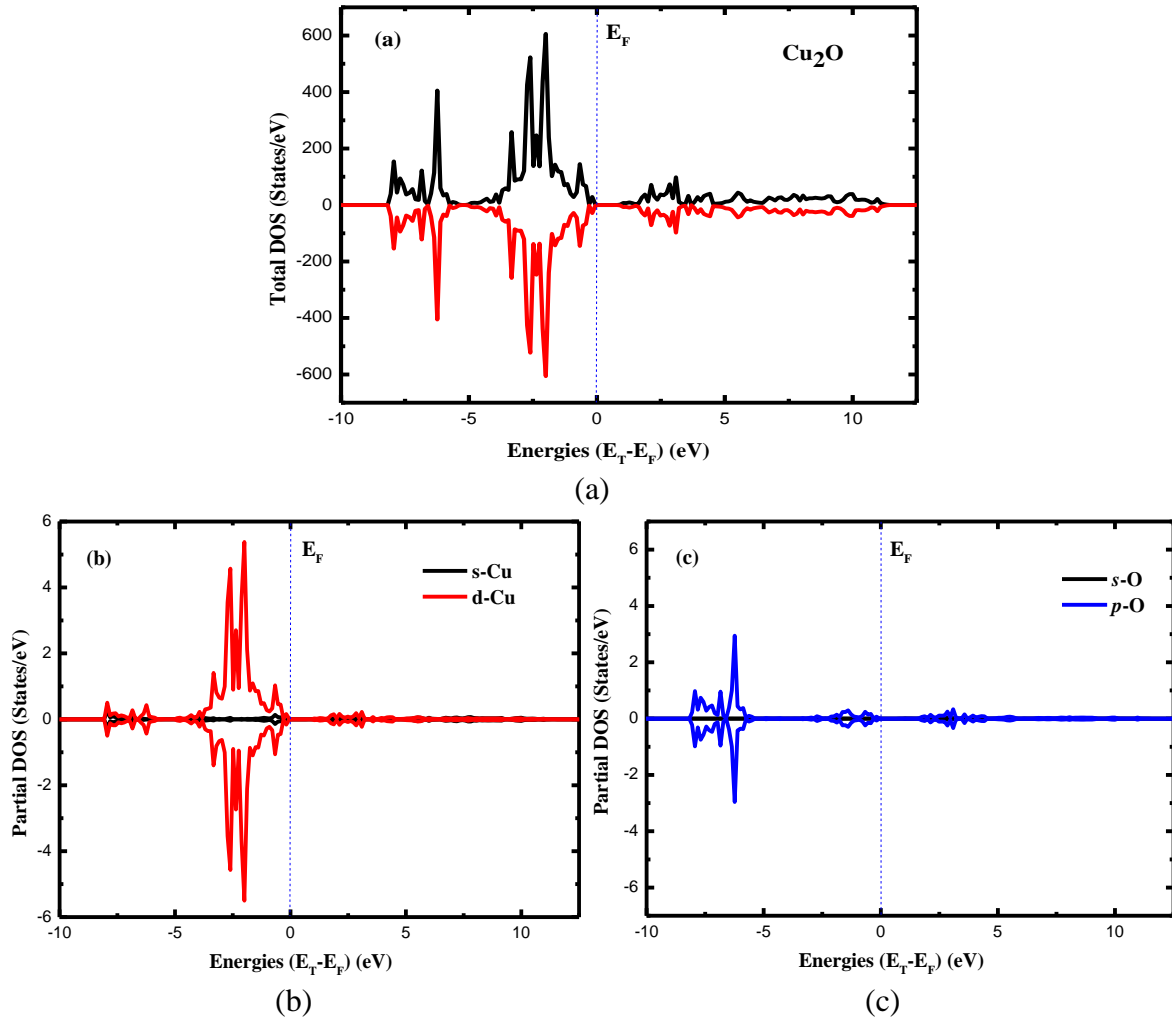
ion characteristics, 3-8Li doping reduces the band gap less than the H doping case. We can see that there is no important modification in the band gap value in the case of high concentration Li-doping, this result agree with previous work [14]. It can be seen that the band gap changes of the two dopants are the result of the simultaneous filling effects: the Cu-3d band and the orbital-lattice coupling.



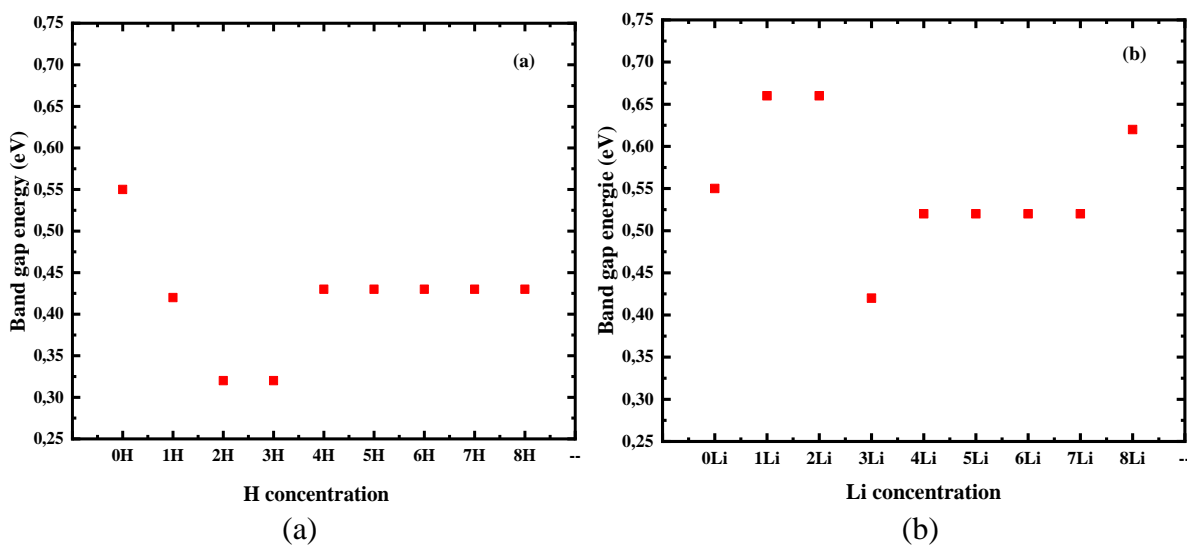
**Fig. 4.** Calculated density of states of H-Cu<sub>2</sub>O for different concentrations of hydrogen. Dashed line is the Fermi level  $E_F$



**Fig. 5.** Calculated density of states of  $\text{Li-Cu}_2\text{O}$  for different concentrations of lithium. Dashed lines is the Fermi level  $E_F$

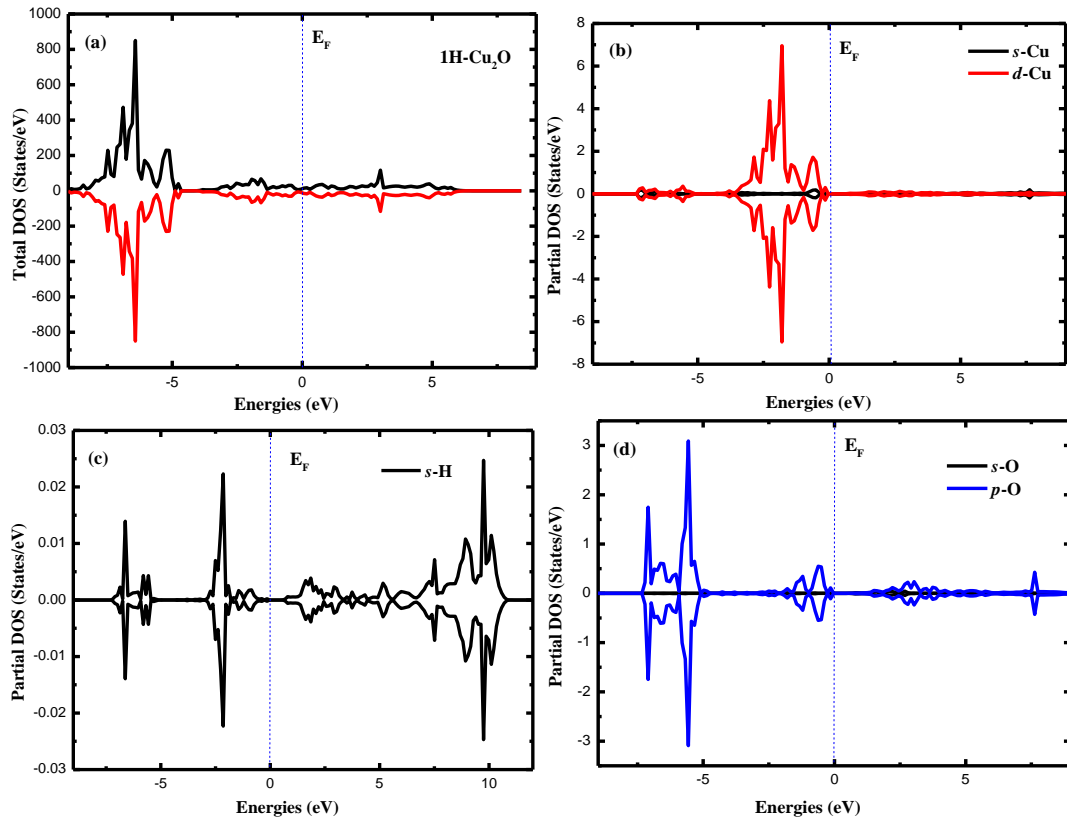


**Fig. 6.** Calculated density of states of pure  $\text{Cu}_2\text{O}$ : (a) Total DOS; (b) Partial DOS for Cu; (c) Partial DOS for O. Dashed line is the Fermi level  $E_F$

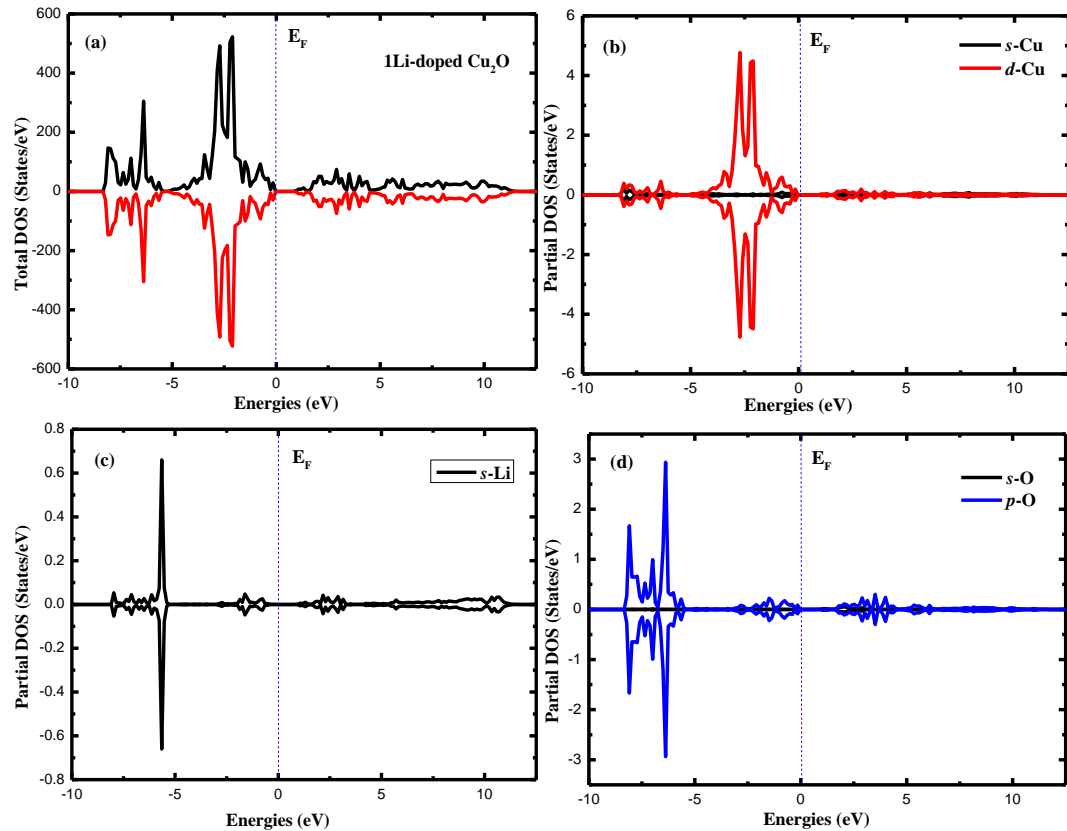


**Fig. 7.** Calculated bandgap variation with hydrogen (a) and lithium (b) concentrations

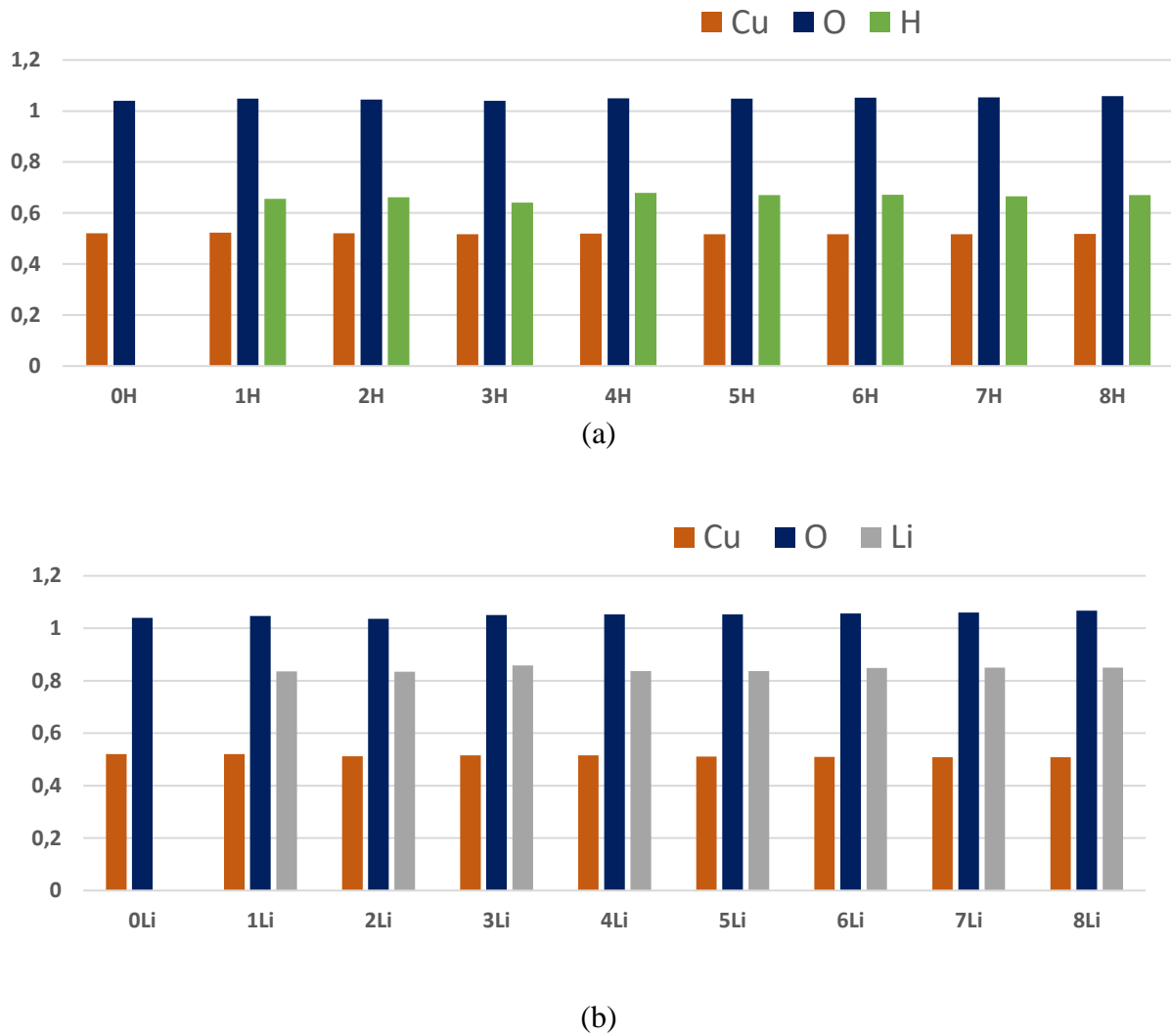




**Fig. 8.** Calculated density of states of 1H-Cu<sub>2</sub>O, (a) Total DOS; (b) Partial DOS for Cu; (c) Partial DOS for H. (d) Partial DOS for O. Dashed line is the Fermi level  $E_F$



**Fig. 9.** Calculated density of states of 1Li-Cu<sub>2</sub>O, (a) Total DOS; (b) Partial DOS for Cu; (c) Partial DOS for Li. (d) Partial DOS for O. Dashed line is the Fermi level  $E_F$

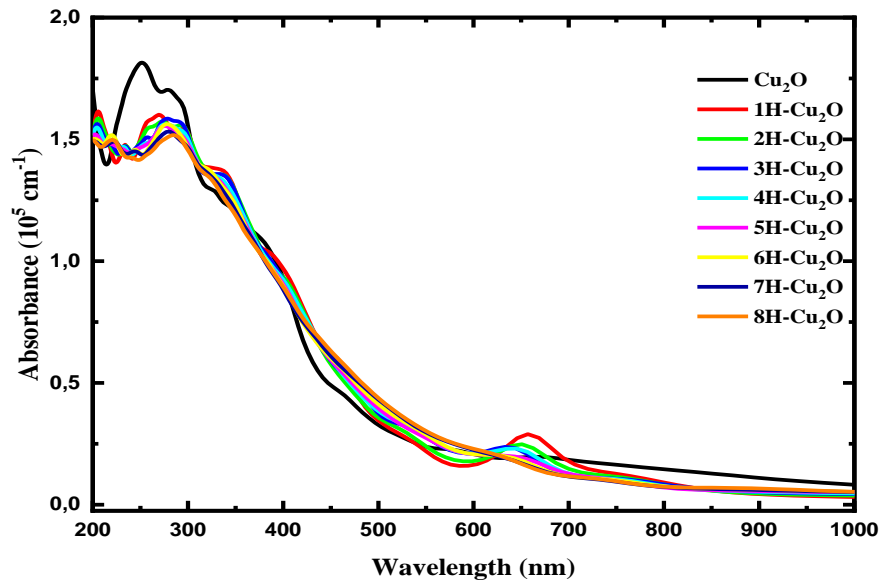


**Fig. 10.** Average atomic Bader charges (e) on undoped (a), H- and Li-doped (b) Cu<sub>2</sub>O

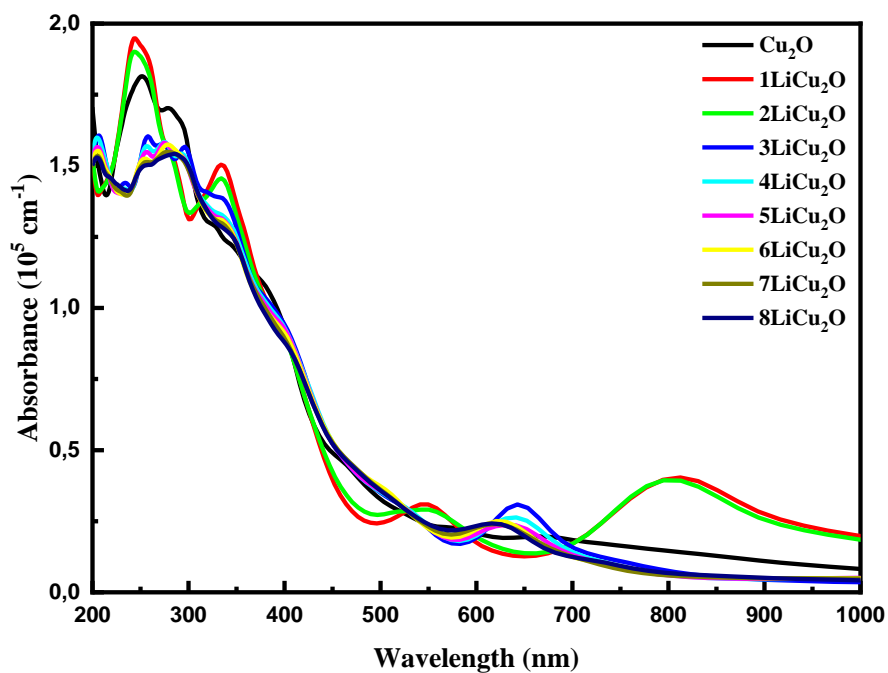
### Optical properties

The Cuprous oxide Cu<sub>2</sub>O is a material with a low absorption coefficient which means more transmitted light and a promising p-type TCO, but its optical transmittance in the visible spectrum is limited by its relatively low band gap (2.17 eV) [35]. In this paper, we aim at increasing this value with different concentration of hydrogen or lithium.

In Fig. 11, we present the absorption coefficients (in order of 10<sup>5</sup> cm<sup>-1</sup>) versus the wavelength (nm) of all configurations of H-doped Cu<sub>2</sub>O compared to the pure one in the visible light region (400-900 nm) and UV region (250-400 nm). The absorption coefficient of pure Cu<sub>2</sub>O can be separated in two regions. The first region, between 250 and 400 nm, where  $\alpha$  decreases from  $1.815 \times 10^5$  cm<sup>-1</sup> to  $0.91 \times 10^5$  cm<sup>-1</sup> and the second one (400-900 nm) in which the absorbance continues to decrease to  $0.09 \times 10^5$ , which is in agreement with experimental results [41,42]. The incorporation of hydrogen decreases the absorbance of pure Cu<sub>2</sub>O in the two regions. Additionally, the absorbance is significantly decreased in the visible region for low concentration of hydrogen into Cu<sub>2</sub>O; while for the high concentration, the absorbance is almost zero in the 400–900 nm region. We remark a small peak at 650 nm when we doped with H, which is largely influenced by decreasing doping levels. The presence of hydrogen atoms increases the transmittance of Cu<sub>2</sub>O.



**Fig. 11.** The variation of the absorption coefficient of 1, 2, 3, 4, 5, 6, 7 and 8 H implanted in Cu<sub>2</sub>O compared with pure one



**Fig. 12.** The variation of the absorption coefficient of 1, 2, 3, 4, 5, 6, 7 and 8 Li inserted in Cu<sub>2</sub>O compared with pure one

Figure 12 shows the absorption coefficient versus the wavelength (nm) of all configurations of Li-doped Cu<sub>2</sub>O compared to the pure one in the visible and UV region. A small change was observed between different concentrations when the Li atom is inserted in the pure Cu<sub>2</sub>O. We remark, in the visible region, the presence of two peaks (at 550 and 800 nm) observed in the low concentration of Lithium (1 and 2 atoms) and one peak (at 650 nm) in the high concentration. One notes that with the incorporation of 1 or 2 atoms of Lithium, the absorbance of pure Cu<sub>2</sub>O increases in the 250-300 nm region and decreases in the rest of the UV region. The study of Nyborg & al. found that there is an increase of absorption in the case

of Li doping in Cu<sub>2</sub>O [14]. Moreover, in the visible region, the absorbance increases when the concentration of Lithium insertion decreases.

### Conclusion

In this study, the electronic, magnetic and optical properties are obtained for Cu<sub>2</sub>O with hydrogen and lithium. The physical properties of Cu<sub>2</sub>O change depending on the concentrations and the inserted element. The optimized lattice constant of Cu<sub>2</sub>O is in good agreement with experimental value. The calculated formation energy of different concentrations of H or Li is negative, indicating the stability of the system. The H atom is tightly bound to the adjacent O ion through the O-H bond. The Cu-Li and O-Li bond lengths are slightly reduced. The presence of replacement hydrogen or lithium will not produce a magnetic moment sequence in Cu<sub>2</sub>O. Moreover, according to the Fermi level energies the doping system is a degenerate p-type semiconductor. The metal-metal interaction governs the valence and conduction band edges, where the strength of a covalent bond Cu-Cu increased with doping that decreased the band gap energy. For low lithium doping, the optical properties of Cu<sub>2</sub>O are improved in the visible light range. On the other hand, when hydrogen is introduced into the Cu<sub>2</sub>O structure, its absorbance in the visible light region will sharply increase. This material (H- or Li-Cu<sub>2</sub>O) can open several technical leaflets in terms of solar energy conversion and electricity storage and/or hydrogen production and/or storage and other applications.

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